

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	200427US0CONT
First Inventor or Application Identifier	Claudio CAVAZZA
Title	PHARMACEUTICAL COMPOSITION COMPRISING CARNITINE OR ALKANOYL L-CARNITINE, FOR THE PREVENTION AND TREATMENT OF DISEASES BROUGHT ABOUT BY LIPID METABOLISM DISORDERS
Assignee Name:	Sigma-Tau Industrie Farmaceutiche Riunite S.p.A.
Assignee Address:	47, Viale Shakespeare - 00144 Rome - ITALY

11000 U.S. PTO  
09/77/874

02/07/01

**APPLICATION ELEMENTS**  
See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

Specification Total Sheets **24**

Drawing(s) (35 U.S.C. 113) Total Sheets

4. ☒ Oath or Declaration Total Pages **2**

- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 C.F.R. §1.63(d))  
(for continuation / divisional w/ box 16 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §1.63(d)(2) and 1.33(b).
5. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification or Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ Paper
- c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ Application Data Sheet. See 37 CFR 1.76
9. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ White Advance Serial No. Postcard
14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15. ☐ Applicant claims small entity status.  
See 37 CFR 1.27
16. ☒ Other: Request for Priority  
Check for \$710.00

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application no.: 09/042,646, allowed  
November 29, 2000

Prior application information: Examiner: G. Kishore

Group Art Unit: 1615

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. Amend the specification by inserting before the first line the sentence:

- ☒ This application is a ☒ Continuation ☐ Division ☐ Continuation-in-part (CIP)  
of application Serial No. 09/042,646 Filed on March 17, 1998, allowed, which is a Continuation of application  
Serial No. 08/758,460, now abandoned.
- ☐ Which was published in English
- ☐ Which was not published in English
- ☐ This application claims priority of provisional application Serial No. Filed

**19. CORRESPONDENCE ADDRESS**



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Name:	Norman F. Oblon	Registration No.:	24,618
Signature:	Thomas Cunningham	Date:	2-7-01
Name:	Thomas M. Cunningham, Ph.D.	Registration No.:	45,394

Docket No. 200427US0CONT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

INVENTOR(S) Claudio CAVAZZA

SERIAL NO: New Application

FILING DATE: Herewith

FOR: PHARMACEUTICAL COMPOSITION COMPRISING CARNITINE OR ALKANOYL L-CARNITINE, FOR THE PREVENTION AND TREATMENT OF DISEASES BROUGHT ABOUT BY LIPID METABOLISM DISORDERS

**FEE TRANSMITTAL**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	20 - 20 =	0	× \$18 =	\$0.00
INDEPENDENT CLAIMS	1 - 3 =	0	× \$80 =	\$0.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$270 =	\$0.00
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The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030.  
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Respectfully Submitted,

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